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PATENT  
Docket No. 249212022900  
Client Ref Q03-1041

**CERTIFICATE OF MAILING-BY "FIRST CLASS MAIL"**

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Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on June 25, 2004.

Mae Pattison

Mae Pattison

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In the application of:

Daniel R. SKAAR

Serial No.: 10/758,935

Filing Date: January 14, 2004

For: AUXILIARY MEMORY IN A TAPE  
CARTRIDGE

Examiner: Not Yet Assigned

Group Art Unit: 2655

**INFORMATION DISCLOSURE  
STATEMENT UNDER 37 C.F.R. § 1.97 & 1.98**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicant submits for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Pursuant to the USPTO notice dated July 11, 2003, waiving the requirement under 37 C.F.R. § 1.98 (a)(2)(i) to provide copies of U.S. Patents and U.S. Published Applications, copies of those references are not submitted. Copies of foreign documents and non-patent literature are submitted herewith in accordance with 37 C.F.R. § 1.98 (a)(2). The Examiner is requested to make these documents of record.

This Information Disclosure Statement is submitted:

- ☐ With the application; accordingly, no fee or separate requirements are required.
- ☐ Before the mailing of a first Office Action after the filing of a Request for Continued Examination under § 1.114.
- ☒ Within three months of the application filing date or before mailing of a first Office Action on the merits; accordingly, no fee or separate requirements are required.
- ☐ After receipt of a first Office Action on the merits but before mailing of a final Office Action or Notice of Allowance.
  - ☐ A fee is required. A check in the amount of \_\_ is enclosed.
  - ☐ A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.
  - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below; accordingly; no fee is believed to be due.
- ☐ After mailing of a final Office Action or Notice of Allowance, but before payment of the issue fee.
  - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below and a check in the amount of \_\_ is enclosed.
  - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below and a Fee Transmittal form (PTO/SB/17 is attached to this submission in duplicate.)

Applicant would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

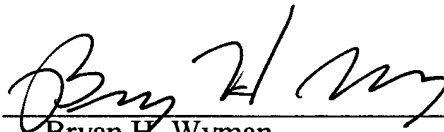
The information contained in this Information Disclosure Statement under 37 C.F.R. § 1.97 and § 1.98 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does

not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal form is separated from this document and the Patent Office determines that an extension and/or other relief is required, Applicant petitions for any required relief including extensions of time and authorizes the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing 249212022900. However, the Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: June 25, 2004

Respectfully submitted,

By:   
Bryan H. Wyman  
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PTO/SB/21 (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

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<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)		Application Number	10/758,935
		Filing Date	January 14, 2004
		First Named Inventor	Daniel R. SKAAR
		Art Unit	2655
		Examiner Name	Not Yet Assigned
Total Number of Pages in This Submission	12 + 44 references	Attorney Docket Number	249212022900

**ENCLOSURES (Check all that apply)**

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement (3 pages) <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): 1. Form PTO-1449 + duplicate copy (8 pages) 2. Forty-four (44) references 3. Return postcard
<b>Remarks</b>		

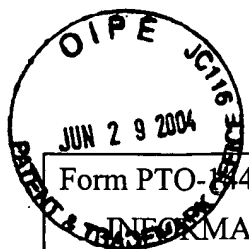
**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual name	MORRISON & FOERSTER LLP (Customer No. 25226) Bryan H. Wyman - 48,049
Signature	
Date	June 25, 2004

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Dated: June 25, 2004

Signature: Mae Pattison (Mae Pattison)



Form PTO-1449

Docket Number 249212022900

Application Number 10/758,935

INFORMATION DISCLOSURE CITATION  
IN AN APPLICATION

(Use several sheets if necessary)

Applicant

Daniel R. SKAAR

Filing Date January 14, 2004

Group Art Unit 2655

Mailing Date June 25, 2004

## U.S. PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date If Appropriate
	1.	07/10/2003	2003/0128467	Blair et al.			
	2.	01/08/2004	2004/0004789	Watanabe et al.			
	3.	07/06/1982	4,338,644	Staar			
	4.	06/13/1989	4,839,875	Kuriyama et al.			
	5.	03/11/1997	5,610,789	Miller			
	6.	06/09/1998	5,764,457	Uhde et al.			
	7.	10/13/1998	5,822,145	Nishida et al.			
	8.	12/22/1998	5,852,534	Ozue et al.			
	9.	05/11/1999	5,903,407	Tsai			
	10.	03/28/2000	6,043,948	Takayama			
	11.	06/13/2000	6,075,669	Takayama			
	12.	07/11/2000	6,088,182	Taki et al.			
	13.	02/27/2001	6,195,007	Takayama et al.			
	14.	10/09/2001	6,299,088	Rambosek			
	15.	10/09/2001	6,301,067	Takayama			
	16.	10/16/2001	6,304,416	McAllister et al.			
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	18.	11/19/2002	6,481,658	Shiga et al.			
	19.	12/31/2002	6,501,612	Kato et al.			
	20.	02/11/2003	6,519,105	Takayama			
	21.	03/18/2003	6,535,344	Takayama			
	22.	03/09/2004	6,702,215	Stamm et al.			

## FOREIGN PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Country	Class	Subclass	Translation YES NO
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EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.

<b>Form PTO-1449</b>  <b>INFORMATION DISCLOSURE CITATION IN AN APPLICATION</b>  <i>(Use several sheets if necessary)</i>	Docket Number 249212022900	Application Number 10/758,935
	Applicant  Daniel R. SKAAR	
	Filing Date January 14, 2004	Group Art Unit 2655
	Mailing Date June <u>25</u> , 2004	

	23.	12/16/1998	EP 0 884 729 A2	Europe			Abstract	
	24.	07/14/1999	EP 0 929 073 A2	Europe				
	25.	02/09/2000	EP 0 978 841 (Abstract Only)	Europe			Abstract Only	
	26.	03/22/2000	EP 0 987 710 (Abstract Only)	Europe			Abstract Only	
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	28.	06/14/2000	EP 1 008 987 (Abstract Only)	Europe			Abstract Only	
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	30.	10/04/2000	EP 1 041 562 (Abstract Only)	Europe			Abstract Only	
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	32.	10/01/1999	FR 2776864 A2	France			Abstract Only	
	33.	05/20/1997	JP 09-134256 (Abstract Only)	Japan			Abstract Only	
	34.	05/20/1997	JP 09-134584 (Abstract Only)	Japan			Abstract Only	
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	41.	02/18/2000	JP 2000-048541	Japan			Abstract	

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	Applicant  Daniel R. SKAAR	
	Filing Date January 14, 2004	Group Art Unit 2655
	Mailing Date June <u>25</u> , 2004	

			(Abstract Only)				Only	
	42.	02/25/2000	JP 2000-057662 (Abstract Only)	Japan			Abstract Only	
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	44.	03/31/2000	JP 2000-090642 (Abstract Only)	Japan			Abstract Only	
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	46.	03/31/2000	JP 2000-090641 (Abstract Only)	Japan			Abstract Only	
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	48.	04/21/2000	JP 2000-113640 (Abstract Only)	Japan			Abstract Only	
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	50.	06/23/2000	JP 2000-173237 (Abstract Only)	Japan			Abstract Only	
	51.	06/23/2000	JP 2000-173242 (Abstract Only)	Japan			Abstract Only	
	52.	06/23/2000	JP 2000-173243 (Abstract Only)	Japan			Abstract Only	
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	54.	06/30/2000	JP 2000-182322 (Abstract Only)	Japan			Abstract Only	
	55.	06/30/2000	JP 2000-182357 (Abstract Only)	Japan			Abstract Only	
	56.	08/29/2000	JP 2000-235744 (Abstract Only)	Japan			Abstract Only	
	57.	08/29/2000	JP 2000-235774 (Abstract Only)	Japan			Abstract Only	
	58.	09/29/2000	JP 2000-268443 (Abstract Only)	Japan			Abstract Only	
	59.	10/13/2000	JP 2000-285546	Japan			Abstract	

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	Applicant  Daniel R. SKAAR	
	Filing Date January 14, 2004	Group Art Unit 2655
	Mailing Date June <u>25</u> , 2004	

			(Abstract Only)				Only	
	60.	01/23/1997	WO 97/02566 (Abstract Only)	WIPO			Abstract Only	
	61.	05/15/1997	WO 97/17703 (Abstract Only)	WIPO			Abstract Only	
	62.	03/30/2000	WO 00/17880 (Abstract Only)	WIPO			Abstract Only	
	63.	06/29/2000	WO 00/38188 (Abstract Only)	WIPO			Abstract Only	

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(including author, title, Date, Pertinent Pages, Etc.)

Examiner Initials	Ref. No.	Title
	64.	Jerman, S. et al. (2000). "Proposed Addition of Read and Write Attribute Commands to SPC-2," Document T10/99-148r7, 23 pages.
	65.	Nakajima, Y. et al. (1999). "Development of a Memory Label System for Consumer VCRs," <i>IEEE Transactions on Consumer Electronics</i> 45(1):21-30.
	66.	Partial European Search Report Mailed on December 8, 2003, for EP patent application no. EP 03 25 4066 filed on June 26, 2003, 4 pages.

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